Exemption Determination
Amendment to Research Protocol – Exempt Review
UIC Amendment # 3

February 28, 2018

Alan Schwartz, PhD
Medical Education
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RE: Protocol # 2012-0101
"APPD Longitudinal Educational Assessment Research Network"

It is understood that separate approval (IRB approval, an exemption determination or a determination that approval is not required) will be prospectively obtained for all UIC research projects utilizing data obtained from the APPD Longitudinal Educational Assessment Research Network. Since Beth King is not affiliated with UIC, APPD LEARN organizational approval requirements for her involvement in this research – if any - should be addressed with APPD LEARN.

Dear Dr. Schwartz:

The OPRS staff/members of Institutional Review Board (IRB) #7 have reviewed this amendment to your research, and have determined that your research protocol continues to meet the criteria for exemption as defined in the U. S. Department of Health and Human Services Regulations for the Protection of Human Subjects [(45 CFR 46.101(b)].

The specific exemption categories under 45 CFR 46.101(b) are: 1, 2

You may now implement the amendment in your research.

Please note the following information about your approved amendment:
UIC Exemption Period: **February 28, 2018 – February 28, 2021**
Amendment Approval Date: February 28, 2018
Amendment:
Summary: UIC Amendment #3: Addition of Beth King as key research personnel

You are reminded that investigators whose research involving human subjects is determined to be exempt from the federal regulations for the protection of human subjects still have responsibilities for the ethical conduct of the research under state law and UIC policy. Please be aware of the following UIC policies and responsibilities for investigators:

1. Amendments You are responsible for reporting any amendments to your research protocol

that may affect the determination of the exemption and may result in your research no longer being eligible for the exemption that has been granted.

2. Record Keeping You are responsible for maintaining a copy all research related records in a secure location in the event future verification is necessary, at a minimum these documents include: the research protocol, the claim of exemption application, all questionnaires, survey instruments, interview questions and/or data collection instruments associated with this research protocol, recruiting or advertising materials, any consent forms or information sheets given to subjects, or any other pertinent documents.

3. Final Report When you have completed work on your research protocol, you should submit a final report to the Office for Protection of Research Subjects (OPRS).

4. Information for Human Subjects UIC Policy requires investigators to provide information about the research to subjects and to obtain their permission prior to their participating in the research. The information about the research should be presented to subjects as detailed in the research protocol, application and supporting documents.

Please be sure to use your research protocol number (2012-0101) on any documents or correspondence with the IRB concerning your research protocol.

We wish you the best as you conduct your research. If you have any questions or need further help, please contact me at (312) 355-2908 or the OPRS office at (312) 996-1711.

Sincerely,
Charles W. Hoehne, B.S., C.I.P.
Assistant Director, IRB #7
Office for the Protection of Research Subjects

cc: Ilene B. Harris, Medical Education, M/C 591

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